

MIFIDPRU 4 Annex 8R

Notification under MIFIDPRU 4.13.10R that a firm no longer satisfies all the conditions of a K-CMG permission previously granted in relation to a portfolio

Details of Senior Manager responsible for this notification:

If the notification is being made in respect of a MIFIDPRU investment firm or another SMCR firm, we would expect the individual responsible for it to hold a senior management function (SMF).

Name of individual	
Job title / position	
Individual reference number (if applicable)	

1. Please identify the relevant K-CMG permission by providing the following information:

K-CMG permission reference number	
Portfolio name	

2. Please identify the condition(s) no longer being met in respect of the relevant K-CMG permission:

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3. Please confirm the date the firm ceased to satisfy all the conditions of that K-CMG permission:

<i>DD/MM/YYYY</i>

4. Please confirm what the firm's and/or group's (if applicable) revised capital requirement would be if it was required to calculate K-NPR for this portfolio. Please state this as at the date of this notification:

	Existing capital requirement using K-CMG	Capital requirement using K-NPR
MIFIDPRU investment firm's individual requirement	£	£

Consolidated capital requirement	£	£
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4. Please confirm whether the firm/group would be able to meet its revised capital requirements if it was required to calculate K-NPR for this portfolio.

Yes/No

Note: The FCA may review or revoke the K-CMG permission in response to this notification.